FAMILY FIDUCIARY SERVICES, INC.

CLIENT
FINANCIAL PROFILE

Client: ____________________________ Date: ________________

Client: ___________________________

NOT FDIC INSURED - MAY GO DOWN IN VALUE - NO FINANCIAL INSTITUTION GUARANTEE NOT A DEPOSIT - NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

900 Cummings Center, Suite 212U, Beverly, MA 01915
(978) 922-0050 -- davidgrey@familyfiduciary.net

Personal Information

First Client's Name | DOB | Social Security # | Cell Phone # and email address

Second Client's Name | DOB | Social Security # | Cell Phone # and email address

Home Address | City | State

First Client's Employer | Work Email | Occupation | Years

Work Address

Second Client's Employer: Full / Part | Occupation | Years

Work Address

Children/Dependents

Name | DOB

Name | DOB

Name | DOB

Retirement Goals

Comments

Age ____________

Mo. Income Needed (Future) ____________

SS Mo. Income ____________

Other Mo. Income ____________

Total Mo. Income ____________

DEFICIT MONTHLY ____________

Do you/spouse have a will? ______ Yes ______ No

Do you/spouse expect an inheritance/settlement? ______ Yes ______ No Comments ____________

Assets

Bank Accounts

Bank ______________________________

Checking Balance $ ______________

Money Market or Savings Balance $ ______________

Bank ______________________________ CD $ ______________

Rate ______________ %

Mat. Date ______________

Brokerage Accounts

Broker Name	Total Value (Attach most recent statement to form)
	$
	$
	$

Investments: List all stocks held outside a brokerage account.

# of Shares	Company Name	Owner	Cost Basis	Date Acquired

Pensions

Owners ______________________________

Description ______________________________

Approximate Benefit ______________

Survivor Option: __________ Yes __________ No ______________ Beneficiary

Is there any other information we should know before proceeding? ______________________________

____

____

____

Assets (continued)

Personal Residence

Value of Residence	1st Mortgage Balance	2nd Mortgage Balance	Rent/Mortgage Payment
$	$	$	$

Value of Residence	1st Mortgage Balance	2nd Mortgage Balance	Rent/Mortgage Payment
$	$	$	$

Term of Mortgage	Years Remaining	Equity
		$

Additional Property

Property Type (i.e., 2nd Home, vehicles, boat)	Value
	$
	$
	$

Total Estate Value (in thousands)

Net Worth

- ☐ $0-$500
- ☐ $500-$1,000
- ☐ $1,000-$2,000
- ☐ $2,000-$5,000
- ☐ Over $5,000

Income / Expenses

Annual Household Income	Tax Bracket	Other Tax Considerations
$		

What Type of Investor Are You?

Please read the following statements. Rank yourself on a scale from 1 to 5 to whether you agree or disagree with the statement.
Write the corresponding number in the box next to each question and write the total on the double line.

1 = Strongly Disagree
2 = Disagree
3 = Neutral
4 = Agree
5 = Strongly Agree

1. To obtain above-average returns on my investments, I am willing to accept above average risk of investment losses.

2. Staying ahead of inflation is more important to me than maintaining stable principal values.

3. If an investment loses money over the course of a year, I can easily resist the temptation to sell it.

4. I do not plan on withdrawing my retirement money for major expenses before I retire.

5. I consider myself knowledgeable about economic issues and personal investing.

Total points of Questions 1 through 5 : ______

INVESTOR PROFILE

5	10	15	20	25
Conservative		Moderate		Aggressive

6. I agree that the total points listed in Question 6 accurately indicates my Investor Profile. ☐ Yes ☐ No

   If no, _______________ is the number that accurately indicates my Investor Profile.

Additional Information

Attorney Information:

Accountant Information:

Signature **Date**

Print Client Name

Signature **Date**

Print Client Name