

FAMILY FIDUCIARY SERVICES, INC.

CLIENT FINANCIAL PROFILE

Client: _____

Date: _____

Client: _____

NOT FDIC INSURED - MAY GO DOWN IN VALUE - NO FINANCIAL INSTITUTION GUARANTEE
NOT A DEPOSIT - NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

*900 Cummings Center, Suite 212U, Beverly, MA 01915
(978) 922-0050 -- davidgrey@familyfiduciary.net*

Personal Information

First Client's Name DOB Social Security # Cell Phone # and email address

Second Client's Name DOB Social Security # Cell Phone # and email address

Home Address City State

First Client's Employer Work Email Occupation Years

Work Address

Second Client's Employer: Full / Part Occupation Years

Work Address

Children/Dependents

Name DOB

Name DOB

Name DOB

Retirement Goals

Comments

Age _____
Mo. Income Needed (Future) _____

SS Mo. Income _____

Other Mo. Income _____

Total Mo. Income _____

DEFICIT MONTHLY _____

Do you/spouse have a will? Yes No

Do you/spouse expect an inheritance/settlement? Yes No Comments _____

Assets

Bank Accounts

Bank _____

Checking Balance \$ _____

Money Market or Savings Balance \$ _____

Bank _____ CD \$ _____

Rate _____ %

Mat. Date _____

Brokerage Accounts

<u>Broker Name</u>	<u>Total Value (Attach most recent statement to form)</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____

Investments: List all stocks held outside a brokerage account.

# of Shares	Company Name	Owner	Cost Basis	Date Acquired

Pensions

Owners _____

Description _____

Approximate Benefit _____

Survivor Option: _____ Yes _____ No _____ Beneficiary

Is there any other information we should know before proceeding? _____

Assets (continued)

Personal Residence

\$ _____	\$ _____	\$ _____	\$ _____
Value of Residence	1 st Mortgage Balance	2 nd Mortgage Balance	Rent/Mortgage Payment
\$ _____	\$ _____	\$ _____	\$ _____
Value of Residence	1 st Mortgage Balance	2 nd Mortgage Balance	Rent/Mortgage Payment
_____	_____	\$ _____	
Term of Mortgage	Years Remaining	Equity	

Additional Property

_____	\$ _____
Property Type (i.e., 2 nd Home, vehicles, boat)	Value
_____	\$ _____
Property Type (i.e., 2 nd Home, vehicles, boat)	Value
_____	\$ _____
Property Type (i.e., 2 nd Home, vehicles, boat)	Value

Total Estate Value (in thousands)

Net Worth

\$0-\$500 \$500-\$1,000 \$1,000-\$2,000
 \$2,000-\$5,000 Over \$5,000

Income / Expenses

\$ _____	_____	_____
Annual Household Income	Tax Bracket	Other Tax Considerations

What Type of Investor Are You?

Please read the following statements. Rank yourself on a scale from 1 to 5 to whether you agree or disagree with the statement. Write the corresponding number in the box next to each question and write the total on the double line.

- 1 = Strongly Disagree
- 2 = Disagree
- 3 = Neutral
- 4 = Agree
- 5 = Strongly Agree

1. To obtain above-average returns on my investments, I am willing to accept above average risk of investment losses.

2. Staying ahead of inflation is more important to me than maintaining stable principal values.

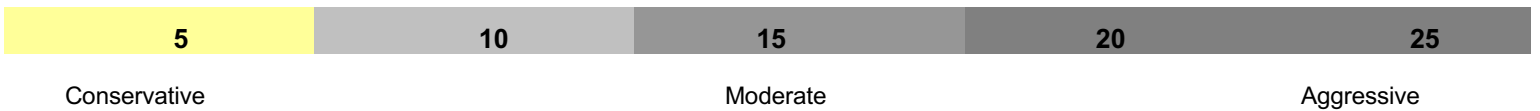
3. If an investment loses money over the course of a year, I can easily resist the temptation to sell it.

4. I do not plan on withdrawing my retirement money for major expenses before I retire.

5. I consider myself knowledgeable about economic issues and personal investing.

Total points of Questions 1 through 5 : _____

INVESTOR PROFILE



6. I agree that the total points listed in Question 6 accurately indicates my Investor Profile. Yes No

If no, _____ is the number that accurately indicates my Investor Profile.

Additional Information

Attorney Information:

Accountant Information:

Signature

Date

Print Client Name

Signature

Date

Print Client Name